

RETROSPECTIVE REVIEW PROGRESS REPORT
Social Security Administration
February 2015

Regulatory Initiatives

Medical Listings Description

- The Listing of Impairments describes impairments for each of the major body systems we consider severe enough to prevent an individual from performing any gainful activity, regardless of age, education, or work experience.
- We currently maintain listings for 15 body systems.
- We update the listings to keep pace with medicine, science, technology, and the world of work. We are on a three-to-five-year cycle to update each of our listings. During each cycle, we seek input from medical experts and other stakeholders to gather the most current information.
- Since 2004, we have updated approximately 70 percent of the listings and continue to move forward with proposed or final revisions in the Federal Register for all listings.
- In this Administration, we have completed revisions for seven body systems.
- In the next year, we intend to take actions to update the remaining listings.

RETROSPECTIVE REVIEW PROGRESS REPORT
Social Security Administration

Agency	Sub-agency	Title Of Initiative/Rule or ICR	RIN/ OMB Control Number 0960-	Summary of Initiative	Status of Initiative	Target Completion Date	Does the Initiative include regulatory flexibilities?	What methods will you engage in to Identify Improvements?	Anticipated or realized savings in costs &/or burdens and anticipated or realized changes in benefits
SSA	NA	Social Security Number Card Applications	AH68	<p>Currently, a person can apply for a replacement Social Security Number (SSN) card by submitting a completed form and supporting documentary evidence to a field office or Social Security Card Center. Applicants can also apply with a representative during an in-office interview.</p> <p>In fiscal year 2013, we processed over 10 million replacement SSN card applications. Moving this service online and removing the form and documentary submission requirements would allow us to develop convenient and efficient means to electronically process replacement SSN card applications while retaining the security necessary to protect the integrity of the card issuance process.</p> <p>We are developing an online application to allow certain members of the public to apply for replacement SSN cards electronically without having to visit an office or mail in an application and supporting evidence. In the proposed rule, an adult U.S. citizen who has a U.S. mailing address, a valid U.S. state-issued driver's license or identity card, and is not reporting any changes to his or her record would have the option to file for an SSN replacement card online, after registering through the <i>my Social Security</i> portal. During the application process, we would securely collect and verify required information electronically and analyze each request for potential fraud.</p>	New to this update	12/2015	NA	<p style="text-align: center;">NPRM 2/26/15 20 FR 10432 (Comments due 4/27/15)</p> <ul style="list-style-type: none"> • Three rounds of usability testing July – September 2014 • Hill staff briefing November 3, 2014 • Consultation with Privacy expert November 7, 2014 • Consultation with FBI and DOJ December 8, 2014 • Consultation with DHS and Secret Service December 15, 2014 • Consultation with IRS and Treasury February 3, 2014 	<ul style="list-style-type: none"> • No effect on OASDI benefits • No effect on Federal SSI benefits • We anticipate a significant savings in both time and cost. Replacement Social Security number cards is one of our most requested field office services. We issued over 10 million cards in 2013 with each request taking an average of 14 minutes to process.

RETROSPECTIVE REVIEW PROGRESS REPORT
Social Security Administration

Agency	Sub-agency	Title Of Initiative/Rule or ICR	RIN/OMB Control Number 0960-	Summary of Initiative	Status of Initiative	Target Completion Date	Does the Initiative include regulatory flexibilities?	What methods will you engage in to Identify Improvements?	Anticipated or realized savings in costs &/or burdens and anticipated or realized changes in benefits
SSA	NA	Revised Medical Criteria for Evaluating Hematological Disorders	AF88	Analyze existing medical listing to determine whether the standards remain justified or should be modified in light of advances in medical knowledge and technology, our adjudicative experience, and the opinions of medical experts and the public.	Currently under E.O. 12866 review. Please see www.reginfo.gov for more information.	5/2015	NA	<p style="text-align: center;">NPRM 11/27/01 NPRM 11/19/13</p> <ul style="list-style-type: none"> • Policy conferences April 8, 2002, April 24, 2002, and August 26, 2002 • Policy conference on sickle cell disease and hemophilia November 18, 2004 	<ul style="list-style-type: none"> • A net reduction in Federal SSI payments of +/- \$13 million over the first 5 years • A net reduction in OASDI payments of +/- \$3 million over the first 5 years • Administrative effect of this regulation is negligible (i.e., less than 25 workyears or \$2 million annually)
SSA	NA	Revised Listings for Growth Disorders and Weight Loss in Children	AG28	Analyze existing medical listing to determine whether the standards remain justified or should be modified in light of advances in medical knowledge and technology, our adjudicative experience, and the opinions of medical experts and the public.	Currently under E.O. 12866 review. Please see www.reginfo.gov for more information.	5/2015	NA	<p style="text-align: center;">ANPRM 9/8/05 NPRM 5/22/13</p> <ul style="list-style-type: none"> • Policy conference November 18, 2005 • Policy conference August 25 through 26, 2005 	<ul style="list-style-type: none"> • A net reduction in Federal SSI payments of +/- \$1 million over the first 5 years • OASDI payments not applicable • Administrative effect of this regulation is negligible (i.e., less than 25 workyears or \$2 million annually)
SSA	NA	Revised Medical Criteria for Evaluating Cancer (Malignant Neoplastic Diseases)	AH43	Analyze existing medical listing to determine whether the standards remain justified or should be modified in light of advances in medical knowledge and technology, our adjudicative experience, and the opinions of medical experts and the public.	Ongoing	6/2015	NA	<p style="text-align: center;">NPRM 11/27/01 NPRM 12/17/13</p> <ul style="list-style-type: none"> • Policy conferences April 8, 2002, April 24, 2002, and August 26, 2002 	<ul style="list-style-type: none"> • A net increase in Federal SSI payments of +/- \$2 million over the first 5 years • A net increase in OASDI benefit payments of +/- \$8 million over the first 5 years • Administrative effect of this regulation is negligible (i.e., less than 25 workyears or \$2 million annually)

RETROSPECTIVE REVIEW PROGRESS REPORT
Social Security Administration

Agency	Sub-agency	Title Of Initiative/Rule or ICR	RIN/OMB Control Number 0960-	Summary of Initiative	Status of Initiative	Target Completion Date	Does the Initiative include regulatory flexibilities?	What methods will you engage in to Identify Improvements?	Anticipated or realized savings in costs &/or burdens and anticipated or realized changes in benefits
SSA	NA	Revised Medical Criteria for Evaluating Neurological Impairments	AF35	Analyze existing medical listing to determine whether the standards remain justified or should be modified in light of advances in medical knowledge and technology, our adjudicative experience, and the opinions of medical experts and the public.	Ongoing	12/2015	NA	<p align="center">ANPRM 4/13/05 NPRM 2/25/14</p> <ul style="list-style-type: none"> • Policy conference July 28, 2005 	<ul style="list-style-type: none"> • No effect on OASDI benefits • No effect on Federal SSI benefits • Budget Implication TBD
SSA	NA	Revised Medical Criteria for Evaluating Respiratory System Disorders.	AF58	Analyze existing medical listing to determine whether the standards remain justified or should be modified in light of advances in medical knowledge and technology, our adjudicative experience, and the opinions of medical experts and the public.	Ongoing	12/2015	NA	<p align="center">ANPRM 4/13/05 NPRM 2/4/13</p> <ul style="list-style-type: none"> • Policy conference August 25-26, 2005 	To be determined
SSA	NA	Revised Medical Criteria for Evaluating Mental Disorders	AF69	Analyze existing medical listing to determine whether the standards remain justified or should be modified in light of advances in medical knowledge and technology, our adjudicative experience, and the opinions of medical experts and the public.	Ongoing	12/2015	NA	<p align="center">ANPRM 3/17/03 NPRM 11/24/10</p> <ul style="list-style-type: none"> • Commissioned National Research Council report, <i>Mental Retardation: Determining Eligibility for Social Security Benefits</i> • Policy conference September 23 and 24, 2003 • Commissioned Institutes of Medicine (IOM) Report on children with mental disorders • Commissioned IOM Report on psychological testing 	To be determined

RETROSPECTIVE REVIEW PROGRESS REPORT
Social Security Administration

Agency	Sub-agency	Title Of Initiative/Rule or ICR	RIN/OMB Control Number 0960-	Summary of Initiative	Status of Initiative	Target Completion Date	Does the Initiative include regulatory flexibilities?	What methods will you engage in to Identify Improvements?	Anticipated or realized savings in costs &/or burdens and anticipated or realized changes in benefits
SSA	NA	Revised Medical Criteria for Evaluating Human Immunodeficiency Virus (HIV) Infection and for Evaluating Functional Limitations in Immune System Disorders	AG67	Analyze existing medical listing to determine whether the standards remain justified or should be modified in light of advances in medical knowledge and technology, our adjudicative experience, and the opinions of medical experts and the public.	Ongoing	12/2015	NA	<p align="center">ANPRM 3/18/08 NPRM 2/26/14</p> <ul style="list-style-type: none"> • Commissioned IOM report, <i>HIV and Disability: Updating the Social Security Listings</i> • Policy conference September 10, 2008 	<ul style="list-style-type: none"> • A net reduction in Federal SSI payments of +/- \$28 million over the first 5 years • A net reduction in OASDI payments of +/- \$71 million over the first 5 years • Administrative effect of this regulation is negligible (i.e., less than 25 workyears or \$2 million annually)
SSA	NA	New Medical Criteria for Evaluating Language and Speech Disorders	AG21	Analyze existing medical listing to determine whether the standards remain justified or should be modified in light of advances in medical knowledge and technology, our adjudicative experience, and the opinions of medical experts and the public.	Ongoing	6/2017	NA	<p align="center">ANPRM 2/6/2012 ANPRM 4/13/05</p> <ul style="list-style-type: none"> • Commissioned IOM Report on children with speech and language disorders 	To be determined
SSA	NA	Revised Medical Criteria for Evaluating Cardiovascular Disorders	AG74	Analyze existing medical listing to determine whether the standards remain justified or should be modified in light of advances in medical knowledge and technology, our adjudicative experience, and the opinions of medical experts and the public.	Ongoing	6/2017	NA	<p align="center">ANPRM 4/16/08</p> <ul style="list-style-type: none"> • Commissioned IOM Report, <i>Cardiovascular Disability: Updating the Social Security Listings</i> 	To be determined
SSA	NA	Revised Medical Criteria for Evaluating Hearing Loss and Disturbances of Labyrinthine-Vestibular Function	AH54	Analyze existing medical listing to determine whether the standards remain justified or should be modified in light of advances in medical knowledge and technology, our adjudicative experience, and the opinions of medical experts and the public.	Ongoing	12/2017	NA	<p align="center">ANPRM 4/13/05 ANPRM 8/30/13</p> <ul style="list-style-type: none"> • Policy conference November 7-8, 2005 	To be determined

RETROSPECTIVE REVIEW PROGRESS REPORT
Social Security Administration

Agency	Sub-agency	Title Of Initiative/Rule or ICR	RIN/ OMB Control Number 0960-	Summary of Initiative	Status of Initiative	Target Comple-tion Date	Does the Initiative include regulatory flexibilities?	What methods will you engage in to Identify Improvements?	Anticipated or realized savings in costs &/or burdens and anticipated or realized changes in benefits
SSA	NA	Revised Medical Criteria for Evaluating Genitourinary Disorders	AH03	Analyze existing medical listing to determine whether the standards remain justified or should be modified in light of advances in medical knowledge and technology, our adjudicative experience, and the opinions of medical experts and the public.	Completed	Final Rule Published 10/10/14 79 FR 61221	NA		<ul style="list-style-type: none"> • Change to Federal SSI payments negligible • Change to OASDI payments negligible • Administrative effect of this regulation is negligible (i.e., less than 25 workyears or \$2 million annually)

**RETROSPECTIVE REVIEW PROGRESS REPORT
Social Security Administration**

Paperwork and Reporting Burden Reduction Initiatives

Agency	Sub-agency	Title Of Initiative/Rule or ICR	RIN/ OMB Control Number 0960-	Summary of Initiative	Status of Initiative	Target Completion Date	Does the Initiative include regulatory flexibilities?	What methods will you engage in to Identify Improvements?	Anticipated or realized savings in costs &/or burdens and anticipated or realized changes in benefits
SSA	NA	Disability Report-Appeal (SSA-3441 and i3441 Revitalization)	0144	We are enhancing our Disability Report – Appeal – Form, SSA-3441-BK, and the related i3441 electronic filing process to improve clarity and reduce the burden on claimants. These changes will improve efficiency, effectiveness, and continuity as well as ensure the claimant is not required to provide duplicative information.	Ongoing	3/23/15	No	<ul style="list-style-type: none"> • Public Comment • Internal Analysis • Routine Maintenance 	83,824 burden hours eliminated
SSA	NA	Social Security Benefits Application (iClaim Enhancements)	0618	We continue to enhance iClaim in order to increase ease and efficiency. Future changes include: 1) streamlining the Internet Disability Application for all disability applicants; 2) enhancing fraud reduction activities; 3) updating International Direct Deposit; and 4) implementing a global transfer table to transfer iClaim from the workload support unit to local field offices.	Ongoing	2015 - 2016	No	<ul style="list-style-type: none"> • Public Comment • Internal Analysis • Routine Maintenance 	23 burden hours eliminated
SSA	NA	Representative Payee Report (Adult, Child, and Organizational Representative Payee) (eAuthorization)	0623	We continue to expand eAuthorization to transactions involving third parties and childhood claims. We intend to use our Public Credentialing and Authentication Process (OMB No. 0960-0789) for eAuthorization, which will allow for the authentication and verification of third parties. This would allow us to verify the identity of the parents and guardians of claimants when they electronically sign the application, greatly improving ease and efficiency.	Ongoing	Ongoing	No	<ul style="list-style-type: none"> • Public Comment • Internal Analysis • Routine Maintenance 	39,265 burden hours eliminated to date
Totals									123,112 burden hours eliminated